Attny Dkt No.: 10392-4670

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

WALLMAN, Steven M.H. et al.

Appl'n No.: 09/516,792

Filing Date: March 1, 2000

For:

METHOD AND APPARATUS FOR TRADING SECURITIES OR OTHER

INSTRUMENTS ON BEHALF OF

CUSTOMERS

MS AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: 3624

Examiner:

KAZIMI, H.

AUG 2 5 2004

GROUP 3000

NOTICE OF APPEAL

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences the decision of the Examiner, dated February 18, 2004, finally rejecting the pending claims of the abovereferenced application. Please charge payment of the \$165.00 small entity appeal fee to Kenyon & Kenyon's Deposit Account No. 11-0600.

Although not believed necessary, the Office is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Deposit Account No. 11-0600. Please note that the three-month small entity extension of time fee of \$475.00 was authorized in the Request for Reconsideration filed in this application on even date.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,

Dated: August 18, 2004

Bradley J. Meier (Reg. No. 44,236)

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